

General information about company	
Scrip code	540936
NSE Symbol	
MSEI Symbol	
ISIN	INE063Z01017
Name of the company	GAUTAM GEMS LIMITED
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	6143809			6143809	61.03	6143809		6143809	61.03
(B)	Public	76	3923250			3923250	38.97	3923250		3923250	38.97
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	80	10067059			10067059	100	10067059		10067059	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				61.03	2200000	35.81			6143807
(B)	Public				38.97					3923200
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	2200000	21.85			10067007

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	6143809			6143809	61.03	6143809		6143809	61.03
Sub-Total (A)(1)		4	6143809			6143809	61.03	6143809		6143809	61.03
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	6143809			6143809	61.03	6143809		6143809	61.03
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	29	174552			174552	1.73	174552		174552	1.73
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	35	2672413			2672413	26.55	2672413		2672413	26.55
(e)	Any Other (specify)	12	1076285			1076285	10.69	1076285		1076285	10.69
Sub-Total (B)(3)		76	3923250			3923250	38.97	3923250		3923250	38.97
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		76	3923250			3923250	38.97	3923250		3923250	38.97
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		80	10067059			10067059	100	10067059		10067059	100
Total (A+B+C)		80	10067059			10067059	100	10067059		10067059	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				61.03	2200000	35.81			6143807
Sub-Total (A) (1)				61.03	2200000	35.81			6143807
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				61.03	2200000	35.81			6143807
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				1.73					174530
(a(ii))				26.55					2672388
(e)				10.69					1076282
Sub-Total (B) (3)				38.97					3923200
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				38.97					3923200
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	2200000	21.85			10067007
Total (A+B+C)				100	2200000	21.85			10067007

Individuals/Hindu undivided Family					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	GAUTAM P SHETH	KANCHANBEN P SHETH	NIDHI GAUTAM SHETH	RESHMA SHASHIKANT VIRWADIA	Click here to go back
PAN (II)	AQRPS2408M	ADOPS2971D	AWUPS3519A	AEPPV2649D	Total
No. of fully paid up equity shares held (IV)	5837348	154000	151947	514	6143809
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5837348	154000	151947	514	6143809
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	57.98	1.53	1.51	0.01	61.03
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	5837348	154000	151947	514	6143809
Class eg:y					
Total	5837348	154000	151947	514	6143809
Total as a % of Total Voting rights	57.98	1.53	1.51	0.01	61.03
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	57.98	1.53	1.51	0.01	61.03
Number of Locked in shares (XII)					
No. (a)	2200000	0	0	0	2200000
As a % of total Shares held (b)	37.69	0	0	0	35.81
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	5837348	154000	151946	513	6143807
Reason for not providing PAN					

Reason for not providing PAN					
Shareholder type					

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	DINESHBHAI KHANJIBHAI THAKKER	DIXIT N BORISA	CHIRAG SHAH	VAGHELA HASMUKH RAJESH	LICY ROSHAN AUGUSTINE	MITHUN SARDAR	VAGHELA KOKILABEN
PAN (II)	AHYPT1311Q	BNDPB5397L	BBWPS5965G	APJPV2367P	BBDPA5689L	HNBPS3132N	AWOPV3099A
No. of fully paid up equity shares held (IV)	143000	165000	121000	377667	167567	110000	344667
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	143000	165000	121000	377667	167567	110000	344667
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.42	1.64	1.2	3.75	1.66	1.09	3.42
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	143000	165000	121000	377667	167567	110000	344667
Class eg:y							
Total	143000	165000	121000	377667	167567	110000	344667
Total as a % of Total Voting rights	1.42	1.64	1.2	3.75	1.66	1.09	3.42
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.42	1.64	1.2	3.75	1.66	1.09	3.42
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	143000	165000	121000	377666	167567	110000	344667
Reason for not providing PAN							
Reason for not providing PAN							

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Searial No.	8	
Name of the Shareholders (I)	VAGHELA PRAKASH KANTILAL	Click here to go back
PAN (II)	AGEPV6889L	Total
No. of fully paid up equity shares held (IV)	133834	1562735
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	133834	1562735
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.33	15.52
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	133834	1562735
Class eg:y		
Total	133834	1562735
Total as a % of Total Voting rights	1.33	15.52
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.33	15.52
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	133833	1562733
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Clearing Members	HUF	Non-Resident Indian (NRI)	Bodies Corporate	Bodies Corporate	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)					HIGHGROWTH VINCOM PRIVATE LIMITED	LKP SECURITIES LIMITED
PAN (II)					AACCH1789A	AAACL0963A
No. of the Shareholders (I)	4	2	1	5	1	1
No. of fully paid up equity shares held (IV)	231000	34834	5500	804951	660000	138600
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	231000	34834	5500	804951	660000	138600
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.29	0.35	0.05	8	6.56	1.38
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	231000	34834	5500	804951	660000	138600
Class eg:y						
Total	231000	34834	5500	804951	660000	138600
Total as a % of Total Voting rights	2.29	0.35	0.05	8	6.56	1.38
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.29	0.35	0.05	8	6.56	1.38
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in	231000	34833	5500	804949	660000	138600

dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	12
No. of fully paid up equity shares held (IV)	1076285
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1076285
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.69
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1076285
Class eg:y	
Total	1076285
Total as a % of Total Voting rights	10.69
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	10.69
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	1076282

Reason for not providing PAN	
Reason for not providing PAN	

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence