

GAUTAM GEMS LIMITED

(CIN: L36911GJ2014PLC078802)

REGISTERED OFFICE: 3RD FLOOR, OFFICE-301, SUMUKH SUPER COMPUND, VASTA DEVADI ROAD,
SURAT- 395004, GUJARAT.

EMAIL: COMPLIANCEGGL@GMAIL.COM PH: 02612538046

WEBSITE: WWW.GAUTAMGEMS.COM

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30th JUNE, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Gautam P Sheth am aware of the compliance requirement of Structured Digital Database (SDD) of **Gautam Gems Limited** pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Nil

For, GAUTAM GEMS LIMITED

Gautam
Pravinchandra
Sheth



GAUTAM PRAVINCHANDRA SHETH
MANAGING DIRECTOR
DIN: 06748854

Date: 21.07.2023
Place: Ahmedabad